IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applica	ation of:)
	TRAUTMAN, et al.) Oroup Art Unit: 3734
Serial No:	09/208,813)) Examiner: Not Yet Assigned
Filed:	December 9, 1998)
For:	DEVICE FOR ENHANCING TRANSDERMAL AGENT FLUX))))
Assistant Co	mmissioner for Potents	

INFORMATION DISCLOSURE STATEMENT

Sir:

Washington, D.C. 20231

Applicants submit herewith patents, publications and other information of which they are aware, which they believe an examiner may consider to be material to the patentability of the claims of this application, and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may contain "material" information pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is either "material" or "prior art" to the invention disclosed and claimed in the above-referenced application unless specifically designated as such. Applicants specifically reserve the right, where appropriate, to avoid or antedate any such reference by the appropriate arguments or showings under 37 C.F.R. § 1.131 and § 1.608, or any other appropriate means.

A completed PTO Form 1449 listing each reference which is not a U.S. Patent application is submitted herewith, and it respectfully requested that an Examiner initialed copy of the PTO Form 1449 be returned to the undersigned.

A copy of each reference therein listed accompanies this Information Disclosure Statement.
-OR-
NO copies of the references therein listed accompany this Information Disclosure Statement
under 37 C.F.R. § 1.98(d), as each was previously cited by or submitted to the Patent Office in
prior application Serial No. , filed , which this application relies upon for an earlier
filing date under 35 U.S.C. § 120.

This Information Disclosure Statement is not a representation that a search has been made or that no other information material to the patentability of this invention exists.

[x]	This Information D	isclosure Statement is being filed within three months of the filing or entry of the
	national stage of th	is application, or before the mailing of a first Office Action on the merits,
	whichever is later.	No fee is required under 37 C.F.R. § 1.97(b). However, in the event that more
		filing have elapsed and a first Office Action on the merits has been mailed, the
	-	ereby authorized to charge the fee required under 37 C.F.R. 1.17(p) to Deposit
	Account Number 0	
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\neg	This Information D	Disclosure Statement is being submitted after three months from the date of filing
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	_	but before the mailing date of either a final Office Action or a Notice of
	Allowance.	but bejore the maning date of either a man emice rection of a riotice of
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	The Commissi	oner is hereby authorized to charge the fee required under 37 C.F.R. § 1.17(p)
		Account 01-1173
	-OR-	
		specified in 37 C.F.R. § 1.97(e) follows:
	☐ Ea	ch item of information contained in this Information Disclosure Statement was
	cite	ed in a communication from a foreign patent office in a counterpart foreign
	арј	olication no more than three months prior to the filing of this statement.
	☐ No	item of information contained in this Information Disclosure Statement was
	cite	ed in a communication from a foreign patent office in a counterpart foreign
	арр	olication or, to the knowledge of the undersigned, after making reasonable
	ing	uiry, was known to any individual designated in § 1.56(c) more than three
	ma	onths prior to the filing of this Information Disclosure Statement.

Attorney's Docket No. ARC 2685 R1 Serial No. 09/208,813 Page 3 of 3

The Examiner is hereby requested to consider these references and other information and make them of record in the above-referenced application. Applicants respectfully submit that the claims of this application are patentable over the above-cited references and information. Examination and allowance of this application at an early date are requested.

Respectfully submitted,

Owen J. Bates, Reg. No. 40,346

Date: July 26, 1999

ALZA Corporation 950 Page Mill Road PO Box 10950 Palo Alto, CA 94303-0802 (650) 496-8267

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on July 26, 1999.

ALZA CORPORATION

RY.

Uanrietta Votave

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail, Mailing Label EL 523 934 923, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on October 4, 2000.

By: Henrietta Votaw



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	:	TRAUTMAN, et al.)
Serial No.	:)) Group Art Unit:) Not Assigned
Filed	:	Evendate Herewith) Examiner:
For	:	DEVICE FOR ENHANCING TRANSDERMAL AGENT FLUX) Not Assigned)
))

Assistant Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

The above-identified application is a continuation of U.S. Patent Application Serial No. 09/208,813 filed December 9, 1998, which in turn claims priority from U.S. Provisional Application No. 60/069,339 filed December 11, 1997.

International Publication WO 99/29298 published June 17, 1999 is a foreign counter part of the parent application.

The claims in the present application are at least entitled to the filing date of the U.S. parent application, namely December 9, 1998. Hence, the WO 99/29298 publication should not be considered "prior art" to the presently solicited claims.

Attorney's Docket No.c 2685 N1

Respectfully submitted,

Dated: <u>October 4, 20</u>00

ALZA Corporation 1900 Charleston Road P.O. Box 7210 Mountain View, CA 94039-7210 (650) 564-7850 D. Byron Miller, Reg. No. 30,661 Patent Attorney for Applicants

ATTY DOCKET NO. SERIAL NO. ARC 2685 R1 INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) **FILING** GROUP **U.S. PATENT DOCUMENTS** FILING DATE *EXAMINER SUBCLASS CLASS DOCUMENT NUMBER DATE NAME IF APPROPRIATE INITIAL 128 253 09/64 Kravitz, et al. RE 25,637 206 63.4 07/72 Rosenthal 3,675,766 128 268 Ganderston et al. 3,814,097 06/74 260 128 Gerstel et al. 3,964,482 06/76 213 128 07/82 **Eckenhoff** 4,340,048 604 897 04/83 Campbell et al. 4,379,454 424 21 Gale et al. 4,588,580 05/86 896 604 04/87 Theeuwes et al. 4,655,766 896 604 10/87 Gale et al. 4,698,062 424 449 **Eckenhoff** 4,753,651 06/88 760 Eckenhoff et al. 128 07/88 4,756,314 FOREIGN PATENT DOCUMENTS TRANSLATION CLASS SUBCLASS COUNTRY DATE DOCUMENT NUMBER YE\$ 17/20 A61b 11/96 **PCT** W0 96/37155 **PCT** a61n 1/30 11/96 W0 96/37256 5/00 A61B 03/97 **PCT** W0 97/07734 **A61N** 1/30 12/97 **PCT** WO 97/48440 37/00 07/98 **PCT** A61M WO 98/28037 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Haley, Thomas J., et al., Journal of Pharmaceutical Sciences, Vol. 63, (1974), pp 106., "Instrument for Producing Standardized Skin Abrasions".

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not

Eppstein, Jonathan, et al., presented at a conference sponsored by IBC in San Diego on 12/15-12/18/97, "Rapid Transdermal Drug Delivery with Thermal Micro-Poration".

considered. Include copy of this form with next communication to applicant.

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EXAMINER DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE CITATION

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional) ARC 2685 R Application Number

09/208,813

Applicant(s) TRAUTMAN, Joseph Creagan, et al.

12/09/98

Group Art Unit

3734

Filing Date

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XAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS		G DATE OPRIATE
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		2,893,392	07/59	Wagner, et al.	128	253		
				FOREIGN PATENT DOCUMENT	s			
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO	
		DE 195 25 607 A1	01/97	Germany	A 61 M	31/00	- 123	1
		WO 96/17648	06/96	PCT	A61N	1/30		
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				OTHER DOCUMENTS (Includi	ing Author, Title, D	ate, Pertinent Pag	es, Etc.)	<u> </u>
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Form PTO-A820 (also form PTO-1449)

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P09A/REV04

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